The Master Said: **To Study and . . .**

EXE

To Søren Egerod on the Occasion of His Sixty-Seventh Birthday

East Asian Institute, University of Copenhagen 1990

The Language of the Ancient Chinese State of Wu

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It seems to be generally accepted that the populations inhabiting south China in the Shang and early Zhou periods and before were not closely related to those of north China, and that they spoke languages which were not related to Chinese. What sorts of languages might these have been? K. C. Chang (1987) argues that the original home of the Austronesian languages was here. His argument is based on archaeological affiliations between the Chinese mainland and Taiwan in prehistoric times; William Meacham (1988: 95-99) questions the archaeological evidence, but in any case the assertion that the prehistoric peoples of Taiwan were the direct linguistic ancestors of the Austronesian-speaking peoples of modern Taiwan is not really testable.¹ Pulleyblank (1983) notes that there is no hard evidence for Austronesian languages on the Chinese mainland in prehistoric times, but that the written sources contain a few glosses which suggest that Austroasiatic languages were spoken here. In this article I shall discuss another written source which may afford some help in this question.

Of the many non-Chinese peoples who inhabited south China in the Shang and Zhou periods we have significant written sources only for the ancient states of Wu \equiv and Yue \equiv , which covered the region of southern Jiangsu, northern Zhejiang, and eastern Anhui. Their capitals are believed to have been near Suzhou and Kuaiji, respectively. A distinctive archaeological culture in this area is sometimes referred to as the Wu Culture (Ji Zhongqing 1982; Li Boqian 1982; cf. Zhong Min 1982: 50); a definite connection between this culture and the state of Wu seems to have been established in 1986 with the excavation of what is believed to be the tomb of King Yumo **#** of Wu (trad. r. 530–527 B.C.).²

The earliest mention of either of these states is in the *Chunqiu* 春秋. Both are mentioned often in the *Guo yu* 國語 and the *Zuo zhuan* 左傳,³ and there are also several early bronze inscriptions which contain relevant narratives (see e.g. Yu Xingwu 1979). The *Shi ji* 史記 devotes several chapters to Wu and Yue,⁴ and from the Eastern Han period we have two books, the *Wu Yue chunqiu* 吴越春秋 and the *Yue jue shu* 越絕書, which appear to be severely edited collections of folklore from the region.⁵ The genealogy of the early rulers of Wu, translated from Shi ji (1962, 31: 1445-1448; cf. Chavannes 1901, 4: 1-5; Han shu 1962, 28b: 1667).

Taibo of Wu 吴太伯, and Taibo's younger brother Zhongyong 仲雍, were sons of the Great King of Zhou 周太王 [the grandfather of King Wen of Zhou 周文王] and the older brothers of Jili the King 王季歷.

Jili was wise, and had a sage son, Chang 昌. The great King wished to enthrone Jili, followed by Chang. At this the two men, Taibo and Zhongyong, fled to the Jing barbarians 荆營; they tattooed their bodies and cut their hair [in the fashion of the barbarians], showing that they were unsuitable [for the throne], in order to give place to Jili. Jili was in the event enthroned; he became Ji the King 王季 [of Zhou], and Chang became King Wen 文王.

After fleeing to the Jing barbarians Taibo called himself Gou-Wu 句吴. The barbarians found him to be just; over a thousand families came to him and enthroned him as Taibo of Wu 吴太伯.

When Taibo died he had no sons, and his younger brother Zhongyong was enthroned; this was Zhongyong of Wu 吴仲雍.

When Zhongyong died, his son Jijian 季簡 was enthroned.

When Jijian died, his son Shuda 叔達 was enthroned.

When Shuda died, his son Zhouzhang 周章 was enthroned.

At this time [trad. 1122 B.C.] King Wu of Zhou 周武王 defeated Yin 股; he sought out the descendants of Taibo and Zhongyong, and found Zhouzhang. Since Zhouzhang already ruled Wu, he was enfeoffed with this state. Zhouzhang's younger brother Yuzhong 嘆仲 was enfeoffed at the ancient ruin of Xia 夏虛, north of Zhou; thus it was that Zhong of Yu 实仲 ranked among the lords.

When Zhouzhang died, his son Xiongsui 航速 was enthroned.

When Xiongsui died, his son Kexiang 柯相 was enthroned.

When Kexiang died, his son Qiangjiuyi 彊鳩夷 was enthroned.

When Qiangjiuyi died, his son Yuqiaoyiwu 餘播疑吾 was enthroned.

When Yuqiaoyiwu died, his son Kelu 柯盧 was enthroned.

When Kelu died, his son Zhouyao 周繇 was enthroned.

When Zhouyao died, his son Quyu 屈羽 was enthroned.

When Quyu died, his son Yiwu 夷吴 was enthroned.

When Yiwu died, his son Qinchu 禽處 was enthroned.

When Qinchu died, his son Zhuan 15 was enthroned.

When Zhuan died, his son Pogao 頗高 was enthroned.

When Pogao died, his son Goubei 句卑 was enthroned.

At this time [655 B.C.] Duke Xian of Jin 晉獻公 destroyed the Duke of Yu 虞公 north of Zhou by pretending that Jin was attacking Guo 硪.

When Goubei died, his son Quqi 去齊 was enthroned.

When Quqi died, his son Shoumeng 寿夢 was enthroned.

At the time that Shoumeng was enthroned, Wu began to increase in power, and to use the title "king".

From the time that Taibo created Wu 吴 there were five generations until King Wu 武王 defeated Yin. He enfeoffed his [Taibo's] descendants as two hereditary houses: one was at Yu 寓 of the Central States 中國, and one was at Wu 吴 of the Yi barbarians 夷蠻. After twelve generations Jin destroyed Yu of the Central States. Two generations after the destruction of Yu of the Central States, Wu of the Yi barbarians rose to prominence. From Taibo to Shoumeng were altogether nineteen generations.

The text

The text with which we are concerned here is the genealogy given in the *Shi ji* for the early rulers of Wu, which is translated in the box on the facing page. This passage is one of about thirty genealogies given in the *Shi ji* for the "hereditary houses" of various states of ancient China. Each genealogy begins with an ancestor with some relation to the hereditary house of Zhou and includes a later enfeoffment by Zhou, usually around the time of the Zhou conquest of Shang.

It seems to be a plausible hypothesis that the original source for all these genealogies was a document prepared at the Zhou court (perhaps in the sixth or fifth century B.C.?) with the purpose of legitimizing Zhou rule and giving each of the local *de facto* rulers a place in the family of the Empire. Names taken from local traditions (which may or may not have been organized as genealogies) were placed in a genealogical framework in such a way as to relate the current rulers to the Zhou house. A study of these genealogies, and a hypothetical reconstruction of the original document which was the *Shi ji*'s source, would undoubtedly contribute to an understanding of Zhou politics and court attempts at an ideological unification of an enormous and essentially ungovernable empire. Here we must concentrate on the genealogy of Wu.⁶

The first ruler of Wu, Taibo, is mentioned by Confucius as a paragon who three times renounced the throne (*Lun yu* 論語, book 8, SBCK 4: 11a; tr. Waley 1938: 132). He does not mention a connection with Wu, and the *three* renunciations cannot be explained on the basis of other pre-Han sources, though the commentator Zheng Xuan 鄭玄 (A.D. 127–200) makes a valiant effort. It seems unlikely that Confucius drew here on the same tradition as the *Shi ji*; it may be that Taibo originally was a hero in northern tradition, and only later, in the hypothetical Zhou document suggested here, was used *ad hoc* as a bridge between the genealogies of Zhou and Wu.

The intrusive treatment in the genealogy of the minor northern state of Yu \mathbf{g} is apparently an explanation of the characters Wu and Yu. The most obvious explanation for the oddly redundant phrases "Yu of the Central States" $\neq \mathbf{mz} \neq \mathbf{g}$ and "Wu of the Yi barbarians" $\neq \mathbf{z} \neq \mathbf{z} \neq \mathbf{z}$ is that Yu and Wu were written with the same character in the original source – though not necessarily with either of these two characters. That there might have been some sort of connection between the northern state of Yu and the southern state of Wu is not inconceivable, but there seems to be good reason to believe that the statement of *this* relationship is probably a fiction created to explain

an orthographical coincidence. There is no reason to take it seriously.⁷

The name Gou-Wu

It is likely that the name which Taibo is said to have adopted, Gou-Wu $\exists \xi$, is a transcription of a non-Chinese name, and that the use in the ancient historical texts of the single character Wu ξ as the name of the state represents an assimilation of the name to normal Chinese usage, in which nearly all states had single-character names. A variety of other transcriptions of the same name can be found in ancient texts and bronze inscriptions. The following list, which undoubtedly is incomplete, gives the reconstructed Archaic reconstructions of those which I have noticed.

These versions of the name are all phonetically very similar, and obviously go back to a name pronounced something like *kuŋo.

It is interesting that the state of Yue is referred to in many ancient texts¹⁵ as *Gan-Yue* 千越, which is Archaic *kân-giwăt* (GSR 139a, 303e). Meng Wentong (1983: 17–19) cites several early sources which indicate that the language of Yue was similar to that of Wu, and it may be that this expression is a transcription of some word cognate to **kuŋo* in another Wu–Yue dialect.

Two other names which could be variants of Gou-Wu and Gan-Yue may also be mentioned in passing. The Hou Han shu (1965, 24: 839–840; cf. Qiu Zhonglun 1982) mentions an ethnic group in south China called Luo-Yue 駱越, which is Archaic glâk giwăt (GSR 766s, 303e). The Guo yu (SBCK 16: 4a; cf. 1978: 511) mentions an ethnic group called Kui-Yue 要越, which is Archaic g'iwer (or g'iwed) giwăt, (GSR 1237s, 303e; Karlgren 1954: 298, 302).

* 1. ťâd păk	大伯	GSR 317d, 782i			
* 2. d'iông iung	仲雍	GSR 1007f, 1184h			
3. kiwed kan	季簡	GSR 538a, 191d			
4. śiôk d'ât or śiôk t'ât	叔達	GSR 1031b, 271b			
5. fiôg fiang	周章	GSR 1083a, 723a			
* 6. ngiwo d'iông					
7. gium dziwəd	熊遂	GSR 674a, 526d			
8. kâ siang	柯相	GSR 1d, 731a			
9. g'iang kiôg di ər or kiang kiôg di ər	彊鳩夷	GSR 710e, 992n, 551a			
10. dio s'iog ngi əg ngo kiog ngi ək ngi o	餘橋疑著	餘橋萊吾 GSR 821, 1138g, 956a, 58			
11. kâ lo	柯盧	GSR 1d, 69d			
12. fiôg dịog or fiôg d'iôg	周繇	GSR 1083a, 1144n			
13. k'iwat giwo					
14. di ər ngo or di ər ngio	夷吴	GSR 551a, 58f			
15. g'jəm f'jo	禽處	GSR 651j, 85a			
16. tiwan	韩	GSR 231e			
17. p'wâ kog	頗高	GSR 25p, 1129a			
18. ku pieg or kiu pieg	句卑	GSR 108a, 874a			
19. k'jab dz'i ər or k'jab tsi ər	去齊	GSR 642a, 593a			
20. diôg miùng or diôg mung	寿夢	GSR 1090g, 902a			

Table 1 Reconstructed Archaic Chinese pronunciations of the names of thefirst twenty rulers of Wu. Nos. 1, 2, and 6 may not be in the Wu language.

The names of the rulers

The persons named in the genealogy are likely to have been heroes in the folklore of the people of Wu. From the bare genealogy given here there is no hope of reconstructing this mythology; we cannot even assume that the persons named were originally ascribed any genealogical relationship. It seems reasonable to expect, however, that the names as given here were transcribed on one occasion, and represent a transcription from one Wu idiolect to one Archaic Chinese idiolect. If this is indeed the case, these names should constitute a homogeneous sample of the language of Wu. We see them through a transcription, darkly; but they seem to give us a chance to say something about the phonology of the Wu language.

The Archaic Chinese pronunciations of the names, reconstructed after Karlgren (1954; 1957), are listed in Table 1. Table 2 lists all of the initials and finals of Karlgren's reconstruction and shows how the initials of the Wu names are distributed over these.

In considering these tables a problem to be borne in mind is that the method used by Bernhard Karlgren in the reconstruction of Archaic Chinese, and the comparative material which was available when he was working, do not permit a complete reconstruction of Archaic Chinese phonology. In particular it is to be expected that many initial consonant clusters show up in the reconstruction as single consonants, and that there may have been more final consonants than are indicated here.

With such a small sample of the Wu language, and considering the presumable difficulties of a Chinese scribe transcribing non-Chinese sounds, we should expect to have difficulty finding usable phonological regularities. It is something of a surprise, therefore, to find that a very clear pattern emerges.

In this sample we find the following initial consonants:

k-	k'-	8-	8'-
t-		d-	
€-	ś-	a-	
	n'-		

There are no initial vowels. The final consonants found are:

The only final vowel is -*o*, which occurs more often than any other final.

 Table 2
 Statistics of initials and finals in the reconstructed Archaic Chinese names of the first twenty rulers of Wu.

Initial in first character:

	Voice	less		Voice	d			
Gutturals	k- 4	k'- 2		g- 1	g'- 2	ng- 1*		
Laryngals	· - 0							
Dentals	t- 1	t'- 1*	<i>s</i> -0	<i>d</i> -2	d'- 1*	z- 0	<i>n</i> - 0	<i>l-</i> 0
	ts- 0	<i>ts'-</i> 0		<i>dz</i> -0	dz'- 0			
Supradentals:	<i>tş-</i> 0	<i>tş'-</i> 0	ş- 0	dz-0				
Palatals	î- 2	<i>î'</i> - 0	ś- 0	<i>d</i> - 1	đ'- 0	ń- 0		
Labials	<i>p</i> -0	p'- 1		<i>b</i> -0	<i>b'-</i> 0	<i>m</i> - 0		

Final in last character:

	Voiceless	Voice	d	
Gutturals	-k 1*	-g 3	-ng 5'	•
Dentals	-t 1	-d 1	-n 2	- <i>r</i> 2
Labials	- <i>p</i> 0	<i>-m</i> 0		

Vowels: -05 -å0 -u0 -â0 -a0

* There is reason to doubt that nos. 1, 2, and 6 in Table 1 are true Wu names. Eliminating these would give ng- 0, t'- 0, d'- 0, -ng 3.

The initials and finals of the Wu names thus fall into a very simple and symmetric pattern. What happens *inside* the names appears to be rather more complex. This could be due to sandhi phenomena (in Archaic Chinese, or the Wu language, or both), or to the general difficulties of transcription.

The hypothetical name *kuŋo, discussed above, fits nicely into the pattern seen here. It would be easy to cull from various sources a much larger sample of Wu and Yue personal names and place names; but this procedure could not be expected to give a sample which is as homogeneous as the one discussed here.

It is fairly certain that the Wu language was not related to Archaic Chinese, and it seems possible to make a case for a relationship

between this phonological system and that of proto-Austronesian as reconstructed by O. C. Dahl (1981: 152). I shall refrain from trying to make this case here, however, for in matters of phonology I am on thin ice. I will be interested to hear from you, Søren, what you think of all this. I wonder too whether some of the names in Table 1 may be found in the traditions of some modern non-Han people of south China or Southeast Asia.

Notes

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- 1 Note however Spriggs 1989: 608-609; Terrell 1989.
- 2 Renmin ribao 人民日报 (People's daily), 1986.5.25: 3, quoting Wen hui bao 文汇报.
- 3 A thorough review of the written sources is given by Liang Baiquan (1980).
- Shi ji 1962, •14: 509-683, "Chronological table of the twelve feudal houses"; cf. Chavannes 1898, 3: 32. •31: 1445-1476, "The hereditary house of Taibo of Wu"; tr. Chavannes 1901, 4: 1-33. •41: 1739-1756, "The hereditary house of Goujian 句踐, king of Yue"; tr. Chavannes 1901, 4: 418-448. •66: 2171-2183, "The biography of Wu Zixu 伍子胥"; tr. Watson 1969: 16-29; Jäger 1960; Rudolph 1962; Allen 1981. •86: 2516-2519, "The biography of Zhuan Zhu 專諸"; tr. Watson 1969: 46-48. Readers interested in knowing more of the traditional history of Wu are advised to go directly to the Shi ji, most of the relevant parts of which are available in translation. The studies of Pfizmaier (1857) and Tschepe (1896) are now so dated that they cannot be recommended.
- 5 On these see especially Eichhorn 1969; Schüssler 1966; 1969.
- 6 Ch'i Ssu-ho (1940) also argues that the Wu genealogy in the *Shi ji* is fiction, but he suggests that it was written at the court of Wu rather than that of Zhou. This would make no difference for the use to which the text is put here.
- 7 The Han shu (1962, 28b: 1667) states that the place where Zhouzhang's younger brother (here called Zhōng 中 rather than Zhòng 仲) was enfeoffed was first called Northern Wu 北矣, but later renamed Yu 傒. See also Ruan Yuan's 阮元 discussion of this question, quoted by Chou Fakao (1975, 12: 6079), and Shang Zhou 1979: 159.
- 8 Shi ji 1962, 31: 1445, 1475; Huainan zi 淮南子, SBCK 10: 66; note also 鉤吾, Shan hai jing 山海經, SBCK 3: 38b; Yuan Ke 1980: 82.
- 9 Bronze inscription: WW 1981.1:3; Wang Entian 1985: 60.
- 10 Zuo zhuan commentary, SSJZS 1873; bronze inscriptions: Cui Molin 1981: 102; 1976: 71.

- 11 Bronze inscriptions: Wang Guowei 1959: 898; Ma Daokuo 1963: 205, 206; Cui Molin 1981: 102; Liu Xing 1981: 28; Li Xueqin 1983: 21.
- 12 Bronze inscriptions: Wang Guowei 1959: 898; Liu Xing 1981: 28; Li Xueqin 1983: 22.
- 13 Bronze inscriptions: Wang Zunguo et al. 1965: 114; WW 1976.11: 65; Cui Molin 1981: 101, 102; Liu Xing 1981: 27–28; Liu Pingsheng 1982; Li Xueqin 1983: 22; Ma Daokuo 1986; Wang Buyi 1986.
- 14 Bronze inscription: Guangxi 1978: 7, pl. 55.
- 15 The expressions Gan-Yue 干越 and Yu-Yue 于越 (Arch. kân-giwăt, giwo giwăt, GSR 139a, 97a, 303e) occur in the pre-Han texts Zhuang zi 莊子, Xun zi 荀子, and Mo zi 墨子; in the Han texts Huainan zi 淮南子, Shi ji 史記, Yan tie lun 鹽鐵論, Xin xu 新序, and Han shu 漢書; and in the somewhat later Wu du fu 吳都賦. Since the two expressions are meaningless and graphically very similar they have given scribes and commentators great difficulties, and often the one is replaced by the other in different editions or in quotations. The two are clearly equivalent, regardless of which may be original.

The Chunqiu 春秋 refers to Yue three times as Yu-Yue 於越 (arch. *io* giwät, GSR 61e, 303e). In modern Chinese the characters 於 and \pm have the same pronunciation and meaning, but they were quite distinct in Archaic Chinese, and a confusion between them in a text so old, and so revered by later scholars, is quite unlikely. It seems likely that Yu-Yue 於越 in the Chunqiu and Gan-Yue 干越 in the other texts quoted here were transcriptions of two cognate non-Chinese words (possibly the same word). Presumably the use of 於 in this context in the Chunqiu led some scholars to substitute $yu \pm$ for $gan \pm$ in the expression Gan-Yue. In the last two millennia there has hardly been an educated man in China who was not familiar with the Chunqiu, and a substitution of gan for yu in this context is much less likely to have occurred. (For a different interpretation see Ma Ligian 1987.)

• Zhuang zi, SBCK 6: 4b; Guo Qingfan 1961: 544; tr. Watson 1968: 169; Graham 1981: 266. • Xun zi, SBCK 1: 7b; Zhang Shitong 1974: 1; tr. Dubs 1928: 31; Watson 1963: 15. • Mo zi (SBCK 4: 7a) has simply Yue, but the same passage as quoted by the commentator Li Shan 李善 (A.D. ca. 630-689) in Wen xuan 文選 (1977, 12: 10a, Jiang fu 江賦) has Gan-Yue. Huainan zi, SBCK 1: 7a; textual variants listed in Liu Wendian 1923, 1: 10b-11a; cf. Kraft 1957: 221. • Shi ji 1962, 129: 3268; cf. Swann 1950: 445; Watson 1961, 2: 489. • Yan tie lun, SBCK 5: 8a; Wang Liqi 1958: 154; cf. Gale 1967: 177. • Han shu 1962, 91: 3680; tr. Swann 1950: 417; textual variants listed in Wang Xianqian 1900, 91: 2a-b. • According to Meng Wentong (1983: 45) the expression Gan-Yue occurs in the Xin xu of Liu Xiang 劉向 (77-6 B.C.), in one of the five chapters entitled Za shi 雜事. • Wu du fu, by Zuo Si 左思 (3rd cent. A.D.), in Wen xuan 1977, 5: 3a; tr. von Zach 1958: 57. • Chunqiu, Dinggong 定公 5th & 14th years, Aigong 哀公, 13th year; SBCK 27: 10a, 28: 12a, 29: 23a; Yang Bojun 1981: 1549, 1593, 1675; Couvreur 1914, 3: 519, 584, 685; Legge 1872: 759, 787, 831.

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